

**Table 1. A checklist of information that farms must start to collect before the first audit. The relevant pre-audit timeframe is shown.**

No.	Description of Farm Record	Indicator(s)	Timeframe	Yes / No / NA
1	Detailed map of farm with GPS coordinates showing river basin and aquaculture areas	2.1.1a, 2.1.1b, 4.1.1b, 4.2.1b	before 1st audit	
2	Diagram of farm showing arrangement of enclosures	2.3.2, 2.3.3, 2.3.4	before 1st audit	
3	Receipt from ASC showing farm commitment contribute to environmental and social restoration fund	2.2.2b	before 1st audit	
4	Monitoring data for TP and TN discharged	3.1.3, 3.1.4	≥ 6 months	
5	DO measurements taken every two weeks (to determine percent change in diurnal DO)	3.2.1a, 3.2.1d	≥ 6 months	
6	Monitoring data for percent change in TP and TN between inlet and outlet	3.3.1, 3.3.2	≥ 6 months	
7	DO measurements taken weekly (to determine minimum DO in water discharged)	3.3.3a, 3.3.3b	≥ 3 months	
8	Sludge disposal records	3.4.1b	≥ 3 months	
9	Energy consumption records (quantity of fuel and electricity)	3.6.1a	≥ 6 months	
10	Records from seed supplier indentifying the river-basin source of broodstock	4.2.1d	≥ 6 months	
11	Receipts for seed for all stocking events	4.3.1c	≥ 6 months	
12	Statement from seed supplier that the seed is neither transgenic nor hybrid	4.4.1b	≥ 6 months	
13	Records for size of net mesh or grills for the entire farm	4.5.1b	≥ 6 months	
14	Receipts for all purchases of commercial feed and ingredients for farm-made feed	5.1.1a, 5.1.1b	≥ 6 months	
15	Declaration from feed manufacturer that no pangasius by-products were used in feed or feed ingredients (farm-made)	5.1.2b, 5.1.2c	≥ 6 months	
16	Statement from feed manufacturer identifying origin of all fish products used in feed	5.1.3a, 5.1.4a, 5.1.5a, 5.1.6a, 5.1.7a	≥ 6 months	
17	Evidence of FS score or IFFO certificate for each species used in feed	5.1.7b	≥ 6 months	
18	Records (receipts, supplier statement) showing average weight of seed and numbers	5.2.1a, 6.1.1a	≥ 6 months	
19	Statement from feed manufacturer indicating inclusion percentage of fish meal and fish oil in feed	5.2.2a	≥ 6 months	
20	Description of veterinary medicines and chemicals used with prescriptions/endorsement and justification for usage	6.2.2b, 6.2.2c	≥ 6 months	
21	Recommendations from health specialist showing compliance with the requirements of Indicator 6.2.3	6.2.3a	≥ 6 months	
22	Daily records of product use and water temp for all chemicals requiring withdrawal periods	6.2.4a	≥ 6 months	
23	Evidence to show no fish were harvested before completion of withdrawal periods	6.2.4c	≥ 6 months	
24	Declaration from health specialist that there was no prophylactic use of medicines or use as growth promoters	6.2.6a, 6.2.7a	≥ 6 months	
25	Records (receipts, specialist declarations, supplier declarations) identifying veterinary medicines and chemicals used	6.4.1a, 6.4.1c	≥ 6 months	
26	Letter from seed supplier providing information about seed sufficient to comply with the requirements of Indicator 6.4.2	6.4.2a	≥ 6 months	
27	Records showing mortality events above threshold are reported to aquatic animal health specialist	6.4.4d	≥ 6 months	
28	Records for estimated fish density in each enclosure throughout production cycle	6.5.2d, 6.5.3d	≥ 6 months	
29	Daily records of working hours	7.2.2c	≥ 6 months	
30	Record of all accidents and corrective actions taken	7.4.3a	≥ 6 months	
31	Timesheets for all employees	7.8.1a, 7.8.4b, 7.12.1a	≥ 6 months	
32	Salary payment receipts	7.9.1e	≥ 6 months	
33	Register of employee issues/complaints	7.11.2a, 7.11.3a, 7.11.4a, 7.14.1b	≥ 6 months	
34	Complaint forms and receipts of acknowledgment	7.14.2b, 7.14.2c, 7.14.2d, 7.14.3a	≥ 6 months	
35	Evidence of advertising positions locally	7.15.1b	≥ 6 months	